

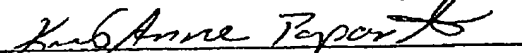
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10/29/03

Docket: 14036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Appln. No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT	Group Art Unit: 3676

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231I hereby certify that this document is being sent via First Class U. S.
mail addressed to: Commissioner for Patents, Washington, D.C.
20231 on this 20 day of November, 2002.
KrisAnne Popovits

Dear Sir:

Please correct the filing receipt in the above-identified application as follows:

Under the heading **Domestic Priority data as claimed by applicant:**

Please delete the CIP reference 09/401,570 09/22/1999.

The Domestic Priority data reads,

"THIS APPLICATION IS A CIP OF 09/690,524 10/17/2000
WHICH IS A CON OF 08/937,427 09/25/1997 PAT 6,186,507
AND A CIP OF 09/618,619 07/18/2000
WHICH IS A CIP OF 09/401,570 09/22/1999
WHICH IS A CIP OF 08/937,427 09/25/1997 PAT 6,186,507"

and should be

-- THIS APPLICATION IS A CIP OF 09/690,524 10/17/2000
WHICH IS A CON OF 08/937,427 09/25/1997 PAT 6,186,507
AND A CIP OF 09/618,619 07/18/2000
WHICH IS A CIP OF 08/937,427 09/25/1997 PAT 6,186,507 --

Application No.: 09/855,001

Docket No.: 14036

A check in the amount of \$25 is enclosed herewith to cover the correction fee. It is believed that no other fees are due in connection with this response. However, the Office is authorized to charge any deficiency in fees associated with this response to Deposit Account 04-1420.

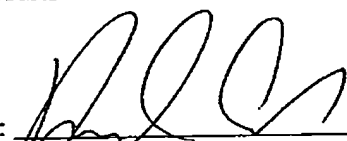
Respectfully submitted,

DORSEY & WHITNEY LLP

Date:

11/20/02

By:


Daniel G. Chapik
Reg. No. 43,424
Suite 1500
50 South Sixth Street
Minneapolis, MN 55402-1498
(612) 343-7955

JHA/TRANSCOM
OCT 25 2001 Page 1 of 2

AAP



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/855,001	05/14/2001	3626	902	14036	10	63	7

CONFIRMATION NO. 1209

UPDATED FILING RECEIPT



OC00000006948772

James H. Ackley
Dorsey & Whitney LLP
220 South Sixth Street
Minneapolis, MN 55402-1498

Date Mailed: 10/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael R. Oldenburg, Madelia, MN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/690,524 10/17/2000
WHICH IS A CON OF 08/937,427 09/25/1997 PAT 6,186,507
AND A CIP OF 09/618,619 07/18/2000

~~WHICH IS A CIP OF 09/401,570 09/22/1999~~

WHICH IS A CIP OF 08/937,427 09/25/1997 PAT 6,186,507

(*) Data inconsistent with PTO records.

Please delete this reference

Foreign Applications

If Required, Foreign Filing License Granted 07/12/2001

Projected Publication Date: 01/31/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Retrofittable severe duty seal for a shaft

RECEIVED

SEP 16 2003

GROUP 3600

Docket: 14036

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor:	Michael R. Oldenburg	
Application No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	RETROFITTING SEVERE DUTY SEAL FOR A SHAFT	Group Art Unit: 3676

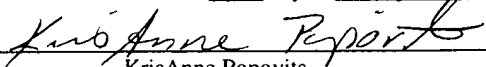
TRANSMITTAL LETTER**Mail Stop RCE**

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 8 day of September, 2003.


KrisAnne Popovits

Dear Sir:

The following documents are enclosed in connection with the above-referenced patent application:

1. Request for Continued Examination (RCE) Under 37 CFR 1.114 (2 pages);
2. Amendment (15 pages);
3. Supplemental Information Disclosure Statement Under 37 CFR 1.97(b)(4) (2 pages);
4. Form PTO/SB/08A (14 pages, submitted in duplicate);
5. Copies of 199 References Cited;
6. Petition For Extension Of Period For Response (1 page);
7. Fee Determination (After Amendment of Claims) (1 page);
8. Check No. 965789 in the amount of \$589.00 (\$205 to cover two-month extension of time fee; \$375 to cover of RCE fee; and \$9 to cover extra claim fee); and
9. Return Receipt Postcard.



FAX COVER SHEET

The information contained in this facsimile message, if a client of this firm is a named addressee, or the message is otherwise intended for a client, is presumptively legally privileged and confidential information. If you are not a named addressee, or if there is any reason to believe that you may have received this message in error, (1) do not read the message below; (2) do not distribute or copy this facsimile; and (3) please immediately call us collect at the number of the sender below.

DATE: October 8, 2003

TOTAL # OF PAGES: 9
(INCLUDING THIS COVER SHEET)

TO: OIPE/Customer Service

FAX #: 703.746.9195

FIRM NAME: United States Patent and Trademark Office

PHONE #: 703.308.1202

FROM: KrisAnne Popovits
Paralegal/Intellectual Property

FAX #: 612.340.8856

PHONE #: 612.343.7965

EMAIL: popovits.kris.anne@dorsey.com

COMMENTS:

In response to the Response to Request for Corrected Filing Receipt, date mailed 09/24/2003, attached please find an Application Data Sheet with the corrected continuity information. Also attached is the Request for Corrected Filing Receipt which was filed with the U.S. Patent and Trademark Office on November 20, 2002. Thank you.

Attached please find: Application Data Sheet with corrected continuity information (2 pages);
Copy of Return Receipt Postcard date stamped "NOV 25 2002" from the OIPE (1 page);
Copy of Transmittal Letter originally filed 11/20/2002 (1 page);
Copy of Fee Transmittal originally filed 11/20/2002 (1 page);
Copy of Request for Corrected Filing Receipt originally filed 11/20/2002 (2 pages); and
Copy of Filing Receipt with Correction Marked (1 page)

First Named Inventor: Michael R. Oldenburg
Client Name: Transcom, Inc.
Application No.: 09/855,001
Filing Date: May 14, 2001
Application Title: **Retrofittable Severe Duty Seal For A Shaft**
Attorney/LA Initials: DVP/kap
Faxed: 8 October 2003
Our Dkt No.: 14036

FAX RECEIVED

OCT 16 2003

OFFICIAL GROUP 3600

ORIGINAL WILL BE SENT VIA: ☐ MAIL ☐ E-MAIL ☐ MESSENGER ☐ AIR COURIER ☒ WILL NOT BE SENT

PLEASE CONTACT KRISANNE POPOVITS AT (612) 343-7965 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

REFERENCE # 7421/456248-7

DORSEY & WHITNEY LLP · WWW.DORSEY.COM · T 612.340.2600 · F 612.340.2888
SUITE 1500 · 50 SOUTH SIXTH STREET · MINNEAPOLIS, MINNESOTA 55402-1498

USA CANADA EUROPE ASIA

4835-2776-8064\1 10/8/2003 12:02 PM

Received from <6123408856> at 10/8/03 1:15:39 PM [Eastern Daylight Time]

The mail stamp of the United States Patent and Trademark Office on this return receipt postcard acknowledges the filing on the date stamped of the following:

Transmittal Letter (1 page); Request for Corrected Filing Receipt (2 pages); Copy of Official Filing Receipt with Correction Marked In Red (1 page); Fee Transmittal (1 page); Check No. 934517 in the amount of \$25.00; Return Receipt Postcard

Via First Class Mail
with Certificate of Mailing

First Named Inventor: Michael R. Oldenburg
Client Name: Transcom, Inc. DEC 02 2002
Application No.: 09/855,001
Filing Date: May 14, 2001
Application Title: Retrofittable Severe Duty Seal For A Shaft
Attorney/Paralegal Initials: DGC/kap
Mailed: 20 November 2002
Docket No.: 14036



*Advised by
AP*

Docket: 14036

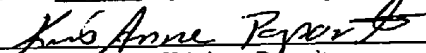
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Application No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT	Group Art Unit: 3676

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U.S.
mail addressed to: Commissioner for Patents, Washington, D.C.
20231 on this 20 day of November, 2002.


KrisAnne Popovits

Dear Sir:

The following documents are enclosed in connection with the above-referenced patent application:

1. Request for Corrected Filing Receipt (2 pages);
2. Copy of Filing Receipt with Correction Marked in Red (1 page);
3. Fee Transmittal (1 page);
4. Check No. 934517 in the amount of \$25 to cover correction fee; and
5. Return Receipt Postcard.

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: 11/20/02

By: 

Daniel G. Chapik
Reg. No. 43,424
Suite 1500
50 South Sixth Street
Minneapolis, MN 55402-1498
(612) 343-7955

340-2600

APPLICATION FEE TRANSMITTAL SHEET (FOR FY 2002)

Com	if Known
Application No.	09/85,001
Filing Date	May 14, 2001
First Named Inventor	Michael R. Oldenburg
Group Art Unit	3626
Examiner Name	V. Patel
Atty. Docket Number	14036

METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																									
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: 04-1420 Deposit Account Name: <u>DORSEY & WHITNEY LLP</u> 2. <input checked="" type="checkbox"/> Check Enclosed		3. ADDITIONAL FEES																																																																									
FEE CALCULATION 1. BASIC FILING FEE		<table border="1"> <tr> <th>Base Fee</th> <th>Surcharge</th> <th>Description</th> </tr> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>130</td> <td>65</td> <td>Surcharge - Late nonprovisional filing fee or oath</td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of IDS</td> </tr> <tr> <td>40</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>400</td> <td>200</td> <td>Extension for reply within second month</td> </tr> <tr> <td>920</td> <td>460</td> <td>Extension for reply within third month</td> </tr> <tr> <td>1,440</td> <td>720</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>1,960</td> <td>980</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>740</td> <td>370</td> <td>Submission After Final 1.129</td> </tr> <tr> <td>320</td> <td>160</td> <td>Notice of Appeal</td> </tr> <tr> <td>320</td> <td>160</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>280</td> <td>140</td> <td>Request for oral hearing</td> </tr> <tr> <td>110</td> <td>55</td> <td>Terminal Disclaimer Fee</td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td>50</td> <td>50</td> <td>Petitions related to provisional applications</td> </tr> <tr> <td>1,280</td> <td>640</td> <td>Utility/Reissue Issue Fee (including advance copies)</td> </tr> <tr> <td>460</td> <td>230</td> <td>Design Issue Fee (inc. advance copies)</td> </tr> <tr> <td>740</td> <td>370</td> <td>Request for Continued Examination (RCE)</td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for early, voluntary, or normal publication</td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for re-publication</td> </tr> <tr> <td>110</td> <td>55</td> <td>Petition to Revive - unavoidable</td> </tr> <tr> <td>1,280</td> <td>640</td> <td>Petition to Revive - unintentional</td> </tr> </table>		Base Fee	Surcharge	Description	50	25	Surcharge - late provisional filing fee or cover sheet	130	65	Surcharge - Late nonprovisional filing fee or oath	180	180	Submission of IDS	40	40	Recording each patent assignment per property (times number of properties)	110	55	Extension for reply within first month	400	200	Extension for reply within second month	920	460	Extension for reply within third month	1,440	720	Extension for reply within fourth month	1,960	980	Extension for reply within fifth month	740	370	Submission After Final 1.129	320	160	Notice of Appeal	320	160	Filing a brief in support of an appeal	280	140	Request for oral hearing	110	55	Terminal Disclaimer Fee	130	130	Petitions to the Commissioner	50	50	Petitions related to provisional applications	1,280	640	Utility/Reissue Issue Fee (including advance copies)	460	230	Design Issue Fee (inc. advance copies)	740	370	Request for Continued Examination (RCE)	300	300	Publication fee for early, voluntary, or normal publication	300	300	Publication fee for re-publication	110	55	Petition to Revive - unavoidable	1,280	640	Petition to Revive - unintentional
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OTHER FEE		<table border="1"> <tr> <th>Base Fee</th> <th>Surcharge</th> <th>Description</th> </tr> <tr> <td>25.00</td> <td></td> <td>Request for Corrected Filing Receipt</td> </tr> <tr> <td colspan="3">Subtotal (3)</td> </tr> <tr> <td colspan="3">Total Amount of Payment:</td> </tr> </table>		Base Fee	Surcharge	Description	25.00		Request for Corrected Filing Receipt	Subtotal (3)			Total Amount of Payment:																																																														
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Submitted by:

Name: Daniel G. Chapik

Reg. No.: 43,424

Telephone: (612) 343-7955

Signature: 

Date: 11/20/02